

EURONEXT BLOCK

APPLICATION FOR RECOGNITION AS AN INTERMEDIARY

To: **Chief Operating Officer**
Euronext London Limited
10th Floor
110 Cannon Street
London
EC4N 6EU
E-mail: euronextmembership@euronext.com

Part 1

Name of member: _____

Member Code(s): _____

Address: _____

Telephone No: _____

Email address: _____

CREST Participant Code _____

We hereby apply for recognition as an Intermediary and confirm that we:

(a) carry on a bona fide business of dealing in *Euronext Block* securities; and

(b) do not carry on an excluded business, as defined in section 88A of the Finance Act 1986, from time to time in force¹ (Please see the footnote below)

Signed: _____
(authorised signatory)

Name: _____

Position: _____

Date: _____

+++++

Part 2

PLEASE NOTE:

A Member without a UK presence must provide a UK address at which records can be inspected upon any enquiry from HM Revenue & Customs.

Accordingly, a Member without a UK presence must fill in the following section:

Name: _____

Address: _____

Contact : _____

Telephone No: _____

Email Address: _____

[.....(name of member)]

hereby nominates the above named UK entity as its agent for the purpose of providing access to records of trading activity to HM Revenue & Customs as required to qualify for status of intermediary.

Signed _____
(authorised signatory)

Name _____

[.....[name of UK entity]

hereby agree to act as agent for

[.....(name of member)]

for the purpose of providing access to records of trading activity to HM Revenue & Customs, as required under stamp duty legislation.

Signed _____
(authorised signatory)

Name _____

¹The excluded businesses are currently defined as –

- (a) any business which consists wholly or mainly in the making or managing of investments;
- (b) any business which consists wholly or mainly in, or is carried on wholly or mainly for the purpose of, providing services to persons who are connected with the person carrying on the business;
- (c) any business which consists in insurance business;
- (d) any business which consists in managing or acting as trustee in relation to a pension scheme or which is carried on by the manager or trustee of such a scheme in connection with or for the purposes of the scheme;
- (e) any business which consists in operating or acting as trustee in relation to a collective investment scheme or is carried on by the operator or trustee of such a scheme in connection with or for the purposes of the scheme.

For Official Use

Signed: _____

Name: _____

Date: